This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

5, B-1831 Diegem (BE).

WORLD INTELLECTUAL PROPERTY ORGANIZATION



International Bureau INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) WO 98/50015 (51) International Patent Classification 6: (11) International Publication Number: A61K 9/00, 47/26 (43) International Publication Date: 12 November 1998 (12.11.98) (81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, PCT/BE98/00064 (21) International Application Number: BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model). 7 May 1998 (07.05.98) (22) International Filing Date: EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, (30) Priority Data: MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, US, UZ, EP 97870065.6 7 May 1997 (07.05.97) (34) Countries for which the regional or VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, international application was filed: BE et al. SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI (71) Applicant (for all designated States except US): PHARLpatent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, YSE, SOCIETE ANONYME [LU/LU]; 2, boulevard Royal, SN, TD, TG). L-Luxembourg (LU). (72) Inventors; and (75) Inventors/Applicants (for US only): VANDERBIST, Francis **Published** With international search report. [BE/BE]; Avenue des Jardinets 18, B-1170 Brussels (BE). MAES, Paul [BE/BE]; Rue Robert Ledecq, B-1440 Wauthier Braine (BE). BAUDIER, Philippe [BE/BE]; Avenue With amended claims. Blucher 10, B-1410 Waterloo (BE). (74) Agents: SCHMITZ, Yvon et al.; Gevers Patents, Holidaystraat

(54) Title: DRY POWDER INHALER EXCIPIENT, PROCESS FOR ITS PREPARATION AND PHARMACEUTICAL COMPOSI-TIONS CONTAINING IT

(57) Abstract

A pharmaceutical excipient useful in the formulation of dry powder inhaler compositions comprising a particulate roller-dried anhydrous β -lactose, said β -lactose particles having a size between 50 and 250 micrometers and a rugosity between 1.9 and 2.4, and the so formulated pharmaceutical compositions.

Systemic absorption.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

								1	
ı	AL	Albania	ES	Spain	LS	Lesotho .	SI /	Slovenia	
ı	AM	Armenia	FI	Finland	LT	Lithuania	SK /	Slovakia	
ı	AT	Austria	FR	France	LU	Luxembourg	SN	Senegal	
Į	AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland	
1	ΑZ	Azerbaijan	GB	United Kingdom	MC	Моласо	TD	Chad	
1	BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo	
1	BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan	
١	BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan	
	BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey	
	BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago	
	BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine	
ł	BR	Brazil Company and the co	IL -	Israel	MR	Mauritania	UG	Uganda	
	BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America	
-	CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan	
1	CF	Central African Republic	JP	Japan	NE	Niger	VN	Vict Nam	
	CG	Congo	KE	Кепув	NL	Netherlands	YU	Yugoslavia	
	CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe ·	
1	CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand			
	CM	Cameroon'		Republic of Korea	: PL	Poland			
	CN:	China	·KR	Republic of Korea	PT	Portugal			
	CU	Cuba	ΚZ	Kazakstan	RO	Romania			
	CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation			
1	DE .	Germany	L	Liechtenstein	SD	Sudan			
1	DK	Denmark	LK	Sri Lanka	SE	Sweden			
	EE	Estonia	LR	Liberia	SG	Singapore			
	.								

10

15

"Dry powder inhaler excipient, process for its preparation and pharmaceutical compositions containing it"

The present invention relates to a new pharmaceutical excipient which may be used in the formulation of dry powder inhaler compositions, to process for its preparation and to the so formulated pharmaceutical compositions.

The administration of active ingredients by inhalation has been used and recognised as a valuable technique for many years. Since the drug acts directly on the target organ, much smaller quantities of the active ingredient (when compared with oral route) may be used for obtaining the same activity, with at least the same duration of action and much fewer side effects due to the systemic absorption.

and to reach the deep regions of the The three delivery systems available for allowing a pulmonary administration are nebulizers, pressurized metered dose inhalers (PMDIs) and dry powder inhalers (DPIs).

> Nebulizers are effective but expensive, bulky and require a relatively long time of administration. As a result, they are mainly used in hospitals.

PMDIs were from far the most popular inhalation systems in the last two decades but present several disadvantages. They require a 20 good coordination between actuation and inhalation what can be difficult for some patients. The respirable fraction that they allow to obtain is quite low (about 10 %). And last but not least, their destructive effect on the ozone layer will led in a very close future to their complete removing.

10

15

20

25

30

1

Now are appearing the first CFCs free PMDIs containing HFAs gases (hydrofluoroalkanes).

A variety of DPIs have been developed in the past few years and since DPIs rely on the inspiratory effort of the patient to produce a fine cloud of drug particles, the coordination problem associated with the use of MDIs does not apply. But, consequently, the quantity of the drug deposited in the lungs is dependent on the airflow. This dependence must be as low as possible for instance by improving the aerodynamic properties of the device and/or the quality of the formulation. There are two main kinds of DPIs (I) monodose DPIs in which the doses of active ingredient (mixed or not with an excipient) are preseparated by filling in individual gelatine capsules and (ii) multidose DPIs in which the drug (mixed or not with an excipient) is filled into a reservoir, the amount of drug delivered per actuation being controlled by a dosing chamber. A DPI's formulation typically presents a contradiction. Indeed, it is usually considered that for reaching the lungs, particle size flowing, would be exclining must be smaller than 6 micrometers and to reach the deep regions of the lungs (bronchioles and alveoles), particle size must be smaller than 2 micrometers. Such micronized powders are very cohesive due to the numerous interparticles interactions occurring between them. This may cause an unreproducible filling of the gelatine capsules and/or incomplete output of the drug from the device. This is the reason why the active ingredient is either pelletized or mixed with a coarse excipient.

> The lung deposition of a drug administered with a dry powder inhaler (DPI) is influenced by three kinds of parameters: the patient, the device and the formulation. Concerning the patient, the formulator must guarantee that the category of patients targeted will have a sufficient respiratory capacity to reach the wished amount of drug in the lung. Furthermore, the inhalation system has to be simple to use for allowing a good compliance from the patient. Nevertheless the patient

10

15

20

25

30

must be duly trained to the inhalation technique. The choice of the inhalation device is of course important. The ideal device will be simple to use, portable, cheap, multidose, must allow to obtain a high respiratory fraction in a reproducible way, must possess a protecting system against an eventual overdosage, must be as low as possible dependent on the inhalation flow. It is clear that ideally each formulation must be optimized in function of the nature and the amount of active ingredient, the device and the category of patients targeted. The formulator has several parameters to play on for optimizing the formulation. The first condition for obtaining a high lung deposition is to possess a powder with a high percentage of respirable particles. The parameters influencing the lung deposition are the following: nature, size, shape and surface properties of the carrier particles, ratio between the active ingredient and the carrier, total amount in the capsule or in the dosing chamber, humidity and electrostatic forces. The physical characteristics of the excipient are from far the most important factor. Usually an inert water soluble, free flowing, coarse excipient is used as carrier. Most often, α-lactose is used but other mono- or disaccharides may be used. The principal interest of adding this excipient is to increase the flowability of the powder. Indeed, the micronized powders present a high number of interparticular interactions and are consequently very cohesive what can provoke a bad capsule filling in case of monodose devices, a bad output of the drug from the device due to the cohesiveness of the powder or a too low respiratory fraction due to the formation of agglomerates of active ingredients which are no more able to reach the lungs due to their too large dimensions. On the other hand, the bond between the carrier and the drug must be reversible during the inhalation for allowing the redispersion of the respirable active particles. This redispersion ideally occurs within the inhaler before the penetration in the mouth and is caused by the high turbulences created into the

10

15

20

25

30

4

device by the patient's inhalation. Once the drug and the carrier are separated, their deposition in the different sites of the respiratory tract will depend on their size and mass and will be governed by inertial phenomenons. Ideally, excipient particles must deposite in the oropharyngeal region while the higher fraction possible of the drug must reach the deep lungs.

The most important parameters of for example α -lactose grains are the nature, the size, the flowability (Hausner ratio or angle of repose) and the rugosity which play a role in the strength of the bond between α -lactose and drug.

As it is well known, the surface characteristics of individual particles of the excipient may be modified by such conventional techniques as crystallization, spray drying and precipitation. For that purpose, patent application WO n° 91/11179 is directed to crystalline sugars such as α -lactose comprising particles having a rugosity of less than 1.75, which are useful in dry powder inhaler compositions. However, these crystalline excipients do not bind the active ingredient sufficiently strongly and generally give a mixture which is not stable and which segregates during handling and filling. On the contrary, the conventional excipients the rugosity of which is greater than 2.0, and particularly spray dried α -lactose monohydrate the rugosity of which is comprised between 2.4 and 2.8, may provoke a partially irreversible bond with the pharmaceutically active material with which it is formulated.

One of the aims of the present invention is consequently to overcome the above-mentioned drawbacks and to provide a novel form of particulate pharmaceutical excipient suitable for use in dry powder inhaler compositions, as polyvalent as possible allowing to obtain a high dose of the active ingredient in the lungs with a low variation between the inhalation device and the patients.

10

15

20

To this end, according to the invention, the excipient comprises a particulate roller-dried anhydrous β -lactose.

Advantageously, the roller-dried β-lactose particles have a size between 50 and 250 micrometers, preferably between 100 and 160 micrometers, and a rugosity comprised between 1.9 and 2.4.

It is also an object of the present invention to provide a process for preparing said roller-dried β -lactose excipient as well as the dry powder inhaler compositions obtained by mixing any suitable active ingredient or pharmacological agent with such particulate roller-dried β -lactose.

Further details and features of the invention will be evident from the detailed description given below of several particular embodiments of the invention.

As has already indicated above, the present invention mainly relates to the nature of the lactose particles used as excipient in the formulation of dry powder inhaler compositions and to the so obtained pharmaceutical compositions.

This lactose is an anhydrous roller-dried β -lactose, which is usually specifically used for direct compression and wet granulation thanks to its ability of being fragmented during compression so forming a high potential binding surface area. Such a form of β -lactose is for example obtained from DMV International under the trade designation Pharmatose DCL 21.

10

15

The structural formula of lactose is given hereinunder:

Structural formula of α-lactose

B-D-Galactose

∝ -0-€lucose

As shown hereinbelow, the differences between the two isomeric forms α and β rely on the configuration of the hydroxyl group on the glucose molecule;

Forms of α and β lactose showing the glucose residue

to are the monthly also 🔯 CH

20

Each form exist in a crystalline state α as a monohydrate and β anhydrous (plus an amorphous form which is a mixture of α and β). In aqueous solution α and β exist in equilibrium containing approximately 63 % of the β form.

Following the conditions of crystallisation, it will be obtained less or more of the α or of the β form. For obtaining a maximum of β form, all the crystallization has to be done above 93.5 °C.

The β-lactose used in the present invention is roller-dried. It is actually a lactose manufactured by the classical way including at least

10

15

20

30

of it.

and villa sprovidical o

the following steps: evaporation - crystallisation - separation - washing-drying - sieving. But, once the lactose is produced in a powder form, it is redissolved in demineralised water, fed between two counterrotating drums, which are steam heated. The dried lactose is then screeped from the surface of the drums by knives. This particular type of lactose provides adequate surface properties for being used in dry powder inhaler formulations, e.g. able to form reversible bonds with pharmacological active ingredients. So this invention consist first of all in the use of a type of lactose, usually reserved for wet granulation and direct compression, for DPI formulations.

It must also be noted that the low water content of anhydrous β -lactose (< 1.%) compared to α -lactose monohydrate may be particularly advantageous when the active ingredient is highly hygroscopic and sensitive to moisture even if this molecule of water is an integrating part of the lactose molecule and is not easily released at low temperature. Examples of pharmacological agents which can be usefully mixed with the roller-dried β-lactose are the mucolytics, steroids. sympathomimetics, proteins, peptides and inhibitors of mediator's release. A specific example of mucolytic substance which may be used in the preparation of DPI compositions of the present invention is the Llysine N-acetylcysteinate. L-lysine N-acetylcysteinate is a mucolytic and antioxidant drug presenting interesting properties in chronic lung diseases with hypertension like cystic fibrosis and chronic obstructive pulmonary disease. As is it well known, the active ingredient will be a particulate solid with a particle diameter preferably comprised between 0.5 and 6 micrometers in order to obtain a high lung deposition

While not wishing to be bound by any theory, the fact that the roller-dried anhydrous β -lactose gives better results than the conventional α -lactose excipients, and more particularly than the spray-

10

15

20

25

dried monohydrate α-lactose could be explained by more adequate surface properties for the roller-dried β-lactose which allows to obtain adequate binding forces between the drug and the excipient or carrier. These binding forces are essentially governed by the surface roughness (rugosity) of excipient particles. This rugosity is defined as the ratio between the surface area (derived from air permeability) to the theoretical external surface (assuming that all particles are spherical). Indeed the excipient must bind the active ingredient sufficiently strongly for allowing to obtain a stable and homogeneous mix which does not segregate during handling and filling. On the other hand, the link between drug and excipient may not be too strong in order that the individual drug particles may be redispersed during inhalation. Contrary to the above-mentioned patent application WO n° 91/11179 which describes the use of a recrystallized α -lactose of very low rugosity (1.75), the anhydrous roller- dried β-lactose used according to the present roller direct lactors withvention has a relatively high rugosity comprised between 1.9 and 2.4 This value is however inferior to this obtained with spray-dried α -lactose monohydrate which is comprised between 2.4 and 2.8. As already mentioned the higher rugosity of spray-dried α-lactose compared with roller-dried β-lactose may provoke a partially irreversible bond between lactose and drug, what may explain the lower lung deposition results of the spray-dried α-lactose monohydrate compared to the roller-dried anhydrous β-lactose, as it will be exemplified hereinafter.

> As also indicated earlier the roller-dried B-lactose particles have preferably a size within the range of 50 to 250 micrometers and more preferably within the range of 100 to 160 micrometers.

> The weight ratio of active ingredient to β-lactose excipient may vary depending upon the active ingredient used and in terms of its degree of activity. The optimum ratio will depend also upon the nature of

10

15

the drug. In any way, it has been found that the use of weight ratios of active ingredient in relation to β -lactose excipient of from 0.1/100 to 50/100, provides satisfactory results.

The invention will now be illustrated in further detail by the following non-limitating Examples.

Example 1

For proving its usefulness in dry powder formulations for inhalation, the roller-dried anhydrous β -lactose was compared with (i) a 325 mesh monohydrate crystalline α -lactose (which is the lactose usually used for DPI formulations), (ii) a coarser monohydrate crystalline α -lactose and (iii) a coarser spray-dried hydrous α -lactose. For this purpose, a formulation of 6 mg of L-lysine N-acetyl cysteinate (NAL) and 24 mg of the different lactose types were done and assessed in vitro on the 2 stages Twin Impinger at 60 I/min. The device used was the monodose Miat Inhaler

Both the spray-dried and the roller-dried lactose were found to be superior in term of deposition than was the crystalline α -lactose probably because of more adequate surface properties. The results are shown in Table 1.

Table 1

In vitro deposition study (TI, 60 I/min.) with different lactose types using a 1:4 NAL/lactose mixture (30 mg of mixture/capsule). Three capsules / test (= 18 mg of NAL). Each result is the mean of 5 reproducible tests (n=5).

	α-Lactose	: α-Lactose	Spray-dried α-lactose	Roller-dried β-lactose
	crystalline	crystalline	monohydrate	anhydrous
	(325 mesh)	(63-100 µm)	(63-100 μm)	(63-100 µm)
DEVICE (mg)	6.3 ± 1.4	5.1 ± 1.2	4.9±0.9	5.6±1.2
UPPER STAGE (mg)	4.6 ± 1.2	5.8 ± 1.6	6.2 ± 1.4	5.8 ± 1.4
LOWER STAGE (mg)	3.2 ± 0.6	5.2 ± 1.1	5.5 ± 0.8	5.9 ± 0.7
% RECOVERED		6 ∓68	92 + 11	96.1 ± 12
PULMONARY FRACTION (%)	17 ± -3	29 ± 4	31 ± 6	33 ± 5

WO 98/50015 PCT/BE98/0006

. 11 -

Example 2

For founding the optimal granulometric range of lactose particles, three size (63-90 µm, 90-125 µm and 100-160 µm) ranges were assessed in vitro (TI) with both spray-dried and roller dried lactose.

For this purpose, the various lactose were sieved twice successively on the appropriate sieves and the granulometric distribution was checked using the laser diffraction analysis (Mastersizer X, Malvern). The respiratory fraction increases with the excipient size. The roller-dried lactose of 100-160 µm was found to be the best excipient for NAL. The results are shown in Table 2.

Fable 2

The ratio NAL / lactose (1:4) was the same for each lactose tested and 30 mg of powder was filled into capsule. Influence of the nature and the size of the lactose particles on the in vitro deposition of NAL (TI at 60 I/min.). (1 capsule / test). Each result is the mean \pm SD of 3 values (n = 3).

	Spray-dri	Spray-dried a-lactose monohydrate	ohydrate	Roller-d	Roller-dried 8-lactose anhydrous	nydrous
	63-100 µm	90-125 µm	100-160 µm	63-100 µm	90-125 µm	100-160 µm
DEVICE (mg)	1.4 ± 0.4	1.7 ± 0.4	1.6 ± 0.2	1.6 ± 0.3	1.7 ± 0.5	1.6 ± 0.6
UPPER STAGE (mg)	2.0 ± 0.6	1.8±0.5	2.00 ± 0.7	1.9±0.6	1.6 ± 0.3	1.4 ± 0.2
LOWER STAGE (mg)	1.7 ± 0.3	1.7 ± 0.3	1.7 ± 0.6	2.1 ± 0.5	2.3 ± 0.6	2.5 ± 0.4
% RECOVERED	. 8∓58	86±7 ¹ 1	88 ± 10	92 ± 5	94 ± 8	91 ±8
PULMONARY FRACTION (%)	28±4	28±5	28±3	35±4	39±2	42±3

10

15

20

The fact that the granulometric range of 100-160 μ m has given the best results in term of deposition may be explained by the differences in flowability (represented by the Hausner ratio) between the various size ranges of lactose tested as described in Table 3. The coarsest the lactose (in the range tested), the best is the flowability (and the lowest is the Hausner ratio).

Table 3

Granulometric range of roller-dried anhydrous β-lactose (μm)	Hausner ratio
125-160	1.14
90-125	1.16
75-90	1.33
63-75	1.49

Another advantage of using a coarse excipient in DPI formulations is that practically no lactose may reach the lungs in this case. Indeed, when the formulations using 63-90, 90-125 or 100-160 µm lactose are tested in vitro on the two stages Twin Impinger at 60 L/min, no lactose is detectable on the lower stage of the TI, while when conventional lactose of 325 mesh is tested in the same conditions, between 1 to 5 % of lactose is able to reach the lower stage of the TI. This lung deposition of lactose may be responsible for some irritants effects of DPI formulations.

Example 3

The last parameter to optimize is the ratio between drug and β-lactose. Mixtures of NAL/β-lactose were realized from 1:2 to 1:6 (higher dilutions were not realistic because the therapeutical lung dose of NAL could not be reached) and assessed on the 2 stages Twin

Impinger using 30 mg of powder for each mixture. Mixtures from 1:2 to 1:4 were found to give the best results. The mixture 1:4 is definitely considered as the best as it is the only one who allows to obtain a high respirable fraction with keeping an acceptable flowability. The results are indicated in Table 4.

Table 4

Influence of the ratio NAL/β-lactose on the in vitro deposition of NAL (TI, 60 I/min.).

For each mixture 30 mg of powder was filled into capsule and each result presented is the mean \pm SD of 3 values (n = 3).

The lactose used was the roller-dried β -lactose anhydrous of 100-160 μ m (1 capsule / test).

	NAL/lactose	NAL/lactose	NAL/lactose	NAL/lactose	NAL/lactose	
	1:2	1.3 E.	1:4	1:5	1:6	
	3.4 ± 1.0	2.5 ± 0.4	1.7 ± 0.3	1.6 ± 0.5	1.1 ± 0.4	
UPPER STAGE (mg)	2.6 ± 0.9	1.7 ± 0.4	1.5 ± 0.5	1.5 ± 0.3	1.1 ± 0.4	
LOWER STAGE (mg)	3.3 ± 1.1	2.5 ± 0,6	2.1 ± 0.5	1.0 ± 0.2	0.9 ± 0.2	
% RECOVERED	95±9	89 ± 7	88 ± 6	81 ± 10	71±8	
PULMONARY	35 ± 6	33 ± 5	32 ± 4	19±3	22 ± 5	
		-				

10

15

20

25

Electron micrographs of a selection of the above powders are shown in the accompanying Figures. In Figures 2 and 3, the magnification and an approximate scale is given.

Figure 1 represents a picture taken by scanning electron microscopy (SEM) of a) the spray-dried α -lactose monohydrate and b) the roller-dried anhydrous \(\beta \)-lactose. It is well visible that there are significative differences between both types of lactose. The roller-dried β-lactose particles are less spherical and show a slightly smoother surface than the spray-dried lactose (what is a visual confirmation of the rugosity measurement).

Figure 2 shows a picture taken by SEM of a grain of the roller-dried anhydrous β -lactose recovered by micronized particles of NAL.

Figure 3 represents a wider view of the picture of Figure 2. The mapping of the sulphur atom on this picture shows to what extent 1.3. The dose on NAL is well fixed on the β-lactose grains.

> An in vivo deposition study has been also realized on 6 volunteers to confirm the high respirable fraction obtained with the formulation. The mean lung deposition was superior to 30% and the lung penetration of the drug was good.

> All the results described hereinabove were obtained by using the monodose Miat Inhaler. For proving that this kind of formulations is relatively polyvalent and not strictly developed for one device type, some tests were performed on a multidose DPI device. The formulation used was as follows:

> > NAL / roller dried anhydrous β-lactose (100-160 μm) 1:4.

When tested on the TI at 60 I/min, the respirable fraction (in proportion of the nominal dose) obtained with this device was of 33 ± 3 % (n=10).

Example 4

5 a) Budesonide

The therapeutical dose of the corticosteroid budesonide is very low. The nominal dose usually recommended is between 200 and 400 µg. The device used in the in vitro deposition tests with budesonide is the Miat multidose inhaler. It is completely different from the monodose device used for NAL as this last was a monodose capsule system whereas the multidose inhaler is a reservoir system working with a dosing chamber for administering the required dose of active ingredient.

Budesonide was assayed using the HPLC described in the European Pharmacopoeia 3rd edition, 1997.

15

10

A mixture of budesonide with roller-dried anhydrous β lactose (100-160 µm) was realized in the ratio 1.9. The dose emitted/puff is about 3 mg what means approximately 300 µg of budesonide/puff. When tested at 60 L/min, the respirable fraction eg the fraction <6.8 µm in comparison with the nominal dose was of 28.7 ± 3.4 %.

20

25

The same formulation has been tested in the same conditions with another multidose device: the Clickhaler® (ML Laboratories). The respirable fraction was of 27.9 \pm 4.5 %.

b) Salbutamol

Salbutamol or albuterol is a $\beta2$ -agonist widely used as bronchodilatator agent in asthma and copd. The therapeutical nominal dose by inhalation is of 100-200 μg . The device used is the Miat Multidose Inhaler.

Salbutamol was assayed using a spectrophotometric method. A mixture of salbutamol with roller-dried anhydrous β-lactose

15

20

(100-160 μ m) was realized in the ratio 1:19. The dose emitted / puff is about 3 mg what means approximately 150 μ g of salbutamol/puff. When tested at 60 L/min, the respirable fraction eg the fraction <6.8 μ m in comparison with the nominal dose was of 31.2 \pm 5.7 %.

5 c) Sodium cromoglycate (SCG)

Sodium cromoglycate is a prophylactic agent widely used in the chronic treatment of asthma. The therapeutical nominal dose usuall used is of about 20 mg.

Sodium chromoglycate was assayed using a spectrophotometric method. A mixture of micronized SCG with roller-dried anhydrous β -lactose (100-160 μ m) was realized in the ratio 1:2. The Monodose Miat Inhaler was for performing the in vitro deposition tests. 60 mg of the mix (corresponding to 20 mgf of SCG) has been put into N° 3 hard gelatin capsules.

The in vitro deposition (represented by the Mass Median Aerodynamic Diameter or MMAD) of the capsules, containing a mixture of micronized sodium cromoglycate fixed on roller-dried lactose DCL21 (100-160 µm) in the ratio 1:2, has been assessed at various airflow from 40 L/min up to 100 L/min and compared with the commercial Lomudal Spincaps® (Fisons). The apparatus used for assessing the deposition is the Multistage Liquid Impringer.

Table 5 hereinbelow gives the airflow influence on the MMAD and on the pulmonary fraction (PF %) for both formulations.

Table 5

Airflow rate	MMAD (µm)	MMAD (µm)	PF %	PF %
(L/min)	Roller-dried	Lomudal	Roller-dried	⁻ Lomudal
	lactose	Spincaps	lactose	Spincaps
40	2.63	3.09	30.86	7.61
60	2.25	2.31	32.30	14.45
80	2.25	1.98	29.30	19.21
100	2,14	1.69	25.73	27.88

The very low dependence to the airflow presented by the formulation using roller-dried lactose guarantees that the lung deposition of SCG will be approximately the same for mild, moderately and severely ill patients (25 to 30 %) while the situation is completely different with Lomudal Spincaps. Indeed, this kind of formulation gives a lung deposition of SCG 4 times superior when teted at 100 L/min in comparison to the test at 40 L/min corresponding to a very high intra and inter subject variation. This illustrates another potential advantage of the DPI formulation using roller-dried β-anhydrous lactose.

The foregoing is merely illustrative of the invention and is not intended to limit it to the disclosed excipients, methods and compositions. Many variations and changes which are obvious to one skilled in the art are intended to be within the scope and nature of the invention which are defined in the appended claims.

10

15

5

WO 98/50015 PCT/BE98/00064

CLAIMS

- 1. A pharmaceutical excipient useful in the formulation of dry powder inhaler compositions, characterized in that it comprises a particulate roller-dried anhydrous β-lactose.
- 5 2. An excipient according to claim 1, characterized in that the roller-dried β-lactose particles have a size between 50 and 250 micrometers.
 - 3. An excipient according to claim 2, characterized in that said particles have a size comprised between 100 and 160 micrometers.
- 4. An excipient according to any of claims 1 to 3, characterized in that said particulate roller-dried anhydrous β-lactose has a rugosity comprised between 1.9 and 2.4.
 - 5. A dry powder inhaler pharmaceutical composition, characterized in that it comprises a mixture of an active ingredient and an excipient as claimed in any one of claims 1 to 4.

15

20

25

- 6. A composition according to claim 5, characterized in that the active ingredient is a particulate solid with a particle diameter comprised between 0.5 and 6 micrometers.
- 7. A composition according to either of claims 5 and 6, characterized in that the weight ratio of the active ingredient in relation to the excipient is of from 0.1/100 to 50/100.
- 8. A composition according to any of claims 5 to 7, characterized in that the active ingredient is selected from the group comprising mucolytics, steroids, sympathomimetics, proteins, peptides and inhibitors of mediator's release.
- 9. A composition according to claim 8, characterized in that the active ingredient is a mucolytic agent such as L-lysine N-acetylcysteinate.
- 10. A composition according to claim 9, characterized in that it comprises a mixture of particulate L-lysine N-acetylcysteinate and

roller-dried anhydrous β -lactose constituted by particles of 100 to 160 micrometers in size and of 1.9 to 2.4 in rugosity, the weight ratio of L-lysine N-acetylcysteinate in relation to the roller-dried anhydrous β -lactose being of from 1/2 to 1/6.

5

- 11. A composition according to claim 9, characterized in that the weight ratio of L-lysine N-acethylcysteinate in relation to the roller-dried anhydrous β -lactose is comprised between 1/2 and 1/4.
- 12. A composition according to claim 11, characterized in that said weight ratio is of the order of 1/4.

10

13. A process for the preparation of an excipient as claimed in any one of claims 1 to 4, characterized in that anhydrous β -lactose in a powder form is dissolved in demineralised water, fed between two counterrotating drums, which are steam heated and then screeped from the surface of the drums.

10

15

20

25

30

AMENDED CLAIMS

[received by the International Bureau on 20 October 1998 (20.10.98); original claims 1,2,4,10,11 and 13 amended; remaining claims unchanged (2 pages)]

- 1. A pharmaceutical excipient useful in the formulation of dry powder inhaler compositions, characterized in that it comprises a particulate roller-dried anhydrous X-lactose.
- 2. An excipient according to claim 1, characterized in that the roller-dried 1, characterized 2, characterized 3, characterized 2, characterized 3, characterized 2, ch
- 3. An excipient according to claim 2, characterized in that said particles have a size comprised between 100 and 160 micrometers.
- 4. An excipient according to any of claims 1 to 3, characterized in that said particulate roller-dried anhydrous y-lactose has a rugosity comprised between 1.9 and 2.4.
- 5. A dry powder inhaler pharmaceutical composition, characterized in that it comprises a mixture of an active ingredient and an excipient as claimed in any one of claims 1 to 4.
- 6. A composition according to claim 5, characterized in that the active ingredient is a particulate solid with a particle diameter comprised between 0.5 and 6 micrometers.
- 7. A composition according to either of claims 5 and 6, characterized in that the weight ratio of the active ingredient in relation to the excipient is of from 0.1/100 to 50/100.
- 8. A composition according to any of claims 5 to 7, characterized in that the active ingredient is selected from the group comprising mucolytics, steroids, sympathomimetics, proteins, peptides and inhibitors of mediator's release.
- 9. A composition according to claim 8, characterized in that the active ingredient is a mucolytic agent such as L-lysine N-acetylcysteinate.
- 10. A composition according to claim 9, characterized in that it comprises a mixture of particulate L-lysine N-acetylcysteinate and

roller-dried anhydrous X-lactose constituted by particles of 100 to 160 micrometers in size and of 1.9 to 2.4 in rugosity, the weight ratio of L-lysine N-acetylcysteinate in relation to the roller-dried anhydrous X-lactose being of from 1/2 to 1/6.

5

- 11. A composition according to claim 9, characterized in that the weight ratio of L-lysine N-acéthylcysteinate in relation to the roller-dried anhydrous 1/2 and 1/4.
- 12. A composition according to claim 11, characterized in that said weight ratio is of the order of 1/4.

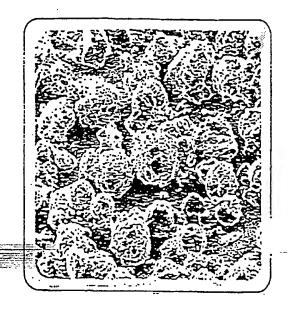
10

13. A process for the preparation of an excipient as claimed in any one of claims 1 to 4, characterized in that anhydrous claims a powder form is dissolved in demineralised water, fed between two counterrotating drums, which are steam heated and then screeped from the surface of the drums.

15

1/3

Fig. 1





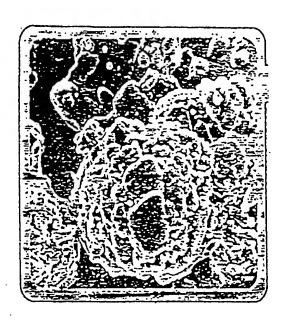
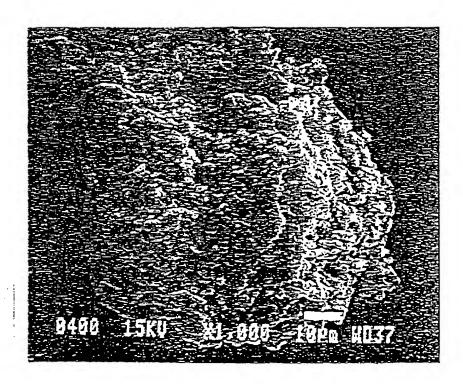




Fig. 2



10 micrometers

1000 X



Europäisches Patentamt European Patent Office Office européen des brevets

Urkunde Certificate Certificat

Es wird hiermit bescheinigt, daß für die in der beigefügten Patentschrift beschriebene Erfindung ein europäisches Patent für die in der Patentschrift bezeichneten Vertragsstaaten erteilt worden ist.

It is hereby certified that a European patent has been granted in respect of the invention described in the annexed patent specification for the Contracting States designated in the specification. Il est certifié qu'un brevet européen a été dé ivré pour l'invention décrité dans le fascicule de brevet ci-joint, pour les Etats contractants désignés dans le fascicule de brevet.

Europäisches Patent Nr.

European Patent No.

Br≥vet européen n°

0964675

Patentinhaber Proprietor of the Patent Thulaire du brevet
"PHARLYSE", Société Anonyme
Boulevard Royal, 2
Luxembourg/LU

lim

Ingo Kobe

Präsident des Europäischen Patentamts President of the European Patent Office Président de l'Office européen des brevets

München, den Munich, Fait à Munich, le

EPA/EPO/OEB Form 2031 02.03

03.09.03

.)



Europäisches Patentamt

European Patent Office

Office europeen des brevets



(11) EP 0 964 675 B1

(12)

EUROPEAN PATENT SPECIFICATION

- (45) Date of publication and mention of the grant of the patent: 03.09.2003 Bulletin 2003/36
- (21) Application number: 98918996.4
- (22) Date of filing: 07.05.1998

- (51) Int CI.7: A61K 9/00, A61K 47/26
- (86) International application number: PCT/BE98/C0064
- (87) International publication number; WO 98/050015 (12.11.1998 Gazette 1998/45)
- (£4) DRY POWDER INHALER EXCIPIENT, PROCESS FOR ITS PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING IT

TRÄGERSTOFF FÜR TROCKENPULVERINHALATOR, VERFAHREN ZU DESSEN HERSTELLUNG UND DIESEN ENTHALTENDE ARZNEIMITTEL

EXCIPIENT POUR INHALATEUR A POUDRE SECHE, SON PROCEDE DE PREPARATION, ET COMPOSITIONS PHARMACEUTIQUES LE CONTENANT

- (£4) Designated Contracting States: BE DE ES FR GB IT NL
- (80) Priority: 07.05.1997 EP 97870065
- (43) Date of publication of application: 22.12.1999 Bulletin 1999/51
- (73) Proprietor: "PHARLYSE", Société Anonyme Luxembourg (LU)
- (72) Inventors:
 - VANDERBIST, Francis B-1170 Brussels (BE)
 - MAES, Paul B-1440 Wauthier Braine (BE)
 - BAUDIER, Philippe B-1410 Waterloo (BE)

- (74) Representative:
 Powis de Tenbossche, Roland et al
 Cabinet Bede
 Boulevard Lambermont, 140
- (56) References cited:

WO-A-91/11179

1030 Bruxelles (BE)

WO-A-95/24889

US-A- 3 802 914

US-A- 5 551 489

DATABASE CHEMABS CHEMICAL ABSTRACTS
SERVICE, COLUMBUS, OHIO, US AN:
117:239737 K. A. RIEPMA ET AL: "Consolidation
and compaction of powder mixtures: III. Binary
mixtures of different particle size fractions of
different types of crystalline lactose"
XP0020441:4

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filled in a written reasoned statement. It shall not be deemed to have been filled until the opposition fee has been paid. (Art. 99(1) European Patent Convention).